

CORPORATE GOVERNANCE

General Information of the Company	
Scrip Code	500350
NSE Symbol	RSWM
MSEI Symbol	NOTLISTED
ISIN	INE611A01016
Name of the Entity	RSWM LIMITED
Date of start of Financial Year	01-04-2020
Date of end of Financial Year	31-03-2021
Reporting Quarter	Yearly
Date of Report	31-03-2021
Risk Management Committee	Not Applicable
Market Capitalisation as per immediate previous Financial Year	Top 2000 listed entities

Annexure I
 Annexure I to be Submitted by Listed Entity on Quarterly Basis
 I. Composition of Board of Directors

Disclosure of notes on Composition of Board of Directors Explanatory

Whether the listed entity has a regular Chairperson		Yes																		
Whether Chairperson is related to MD or CEO		Yes																		
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	Mr.	Riju Jhunjhunwala	AAKPJ4377M	00061060	Executive Director	Chairperson	CEO-MD	13-01-1979	NA		23-10-2003	01-05-2016			3	0	2	1		
2	Mr	Ravi Jhunjhunwala	AAGPJ0739D	00060972	Non-Executive - Non Independent Director	Not Applicable		28-10-1955	No		18-05-1979	18-05-1979			6	2	6	2		
3	Mr	Shekhar Agarwal	AAEPA6590M	00066113	Non-Executive - Non Independent Director	Not Applicable		09-10-1952	No		13-02-1984	25-09-2009			5	0	4	0		
4	Mr	Brij Mohan Sharma	AOMPS2044A	08195895	Executive Director	Not Applicable	MD	08-11-1957	NA		07-08-2018	07-08-2020			1	0	0	0		
5	Mr	Arun Churiwal	ACTPC0401E	00001718	Non-Executive - Non Independent Director	Not Applicable		15-05-1950	No		23-10-2003	01-04-2016			3	0	4	1		
6	Mr	Kamal Gupta	AAHPG1101F	00038490	Non-Executive - Independent Director	Not Applicable		12-02-1946	Yes	11-09-2019	16-09-2014	16-09-2019		78	3	3	9	4		
7	Mr	Amar Nath Choudhary	ABVPC0060A	00587814	Non-Executive - Independent Director	Not Applicable		06-03-1944	Yes	11-09-2019	16-09-2014	16-09-2019		78	2	2	3	2		
8	Mr	Priya Shankar Dasgupta	ADWPD2072J	00012552	Non-Executive - Independent Director	Not Applicable		30-06-1955	No		16-09-2014	16-09-2018		78	6	6	7	3		
9	Mr	Deepak Jain	AAEPJ1525D	00004972	Non-Executive - Independent Director	Not Applicable		06-04-1975	No		11-05-2016	11-05-2016		59	3	1	3	1		
10	Mrs	Archana Capoor	ABSPC4053C	01204170	Non-Executive - Independent Director	Not Applicable		17-09-1958	No		13-02-2018	13-02-2018		38	5	5	3	1		

Annexure I							
II. Composition of Committees							
Disclosure of notes on Composition of Committees Explanatory							
Audit Committee Details							
Whether the Audit Committee has a regular Chairperson							Yes
Sr.	DIN	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00038490	Dr. Kamal Gupta	Non-Executive - Independent Director	Chairperson	31-10-2000		
2	00587814	Mr. Amar Nath Choudhary	Non-Executive - Independent Director	Member	28-01-2010		
3	01204170	Smt. Archana Capoor	Non-Executive - Independent Director	Member	12-08-2019		
Nomination and remuneration committee							
Whether the Nomination & Remuneration Committee has a regular Chairperson							Yes
1	00038490	Dr. Kamal Gupta	Non-Executive - Independent Director	Chairperson	15-01-2002		
2	00066113	Mr. Shekhar Agarwal	Non-Executive - Non Independent Director	Member	30-01-2004		
3	00587814	Mr. Amar Nath Choudhary	Non-Executive - Independent Director	Member	21-05-2019		
Risk Management Committee							
Stakeholders Relationship Committee							
Whether the Stakeholders' Relationship Committee has a regular Chairperson							Yes
1	00038490	Dr. Kamal Gupta	Non-Executive - Independent Director	Chairperson	02-05-2001		
2	00066113	Mr. Shekhar Agarwal	Non-Executive - Non Independent Director	Member	02-05-2001		
3	00001718	Mr. Arun Churiwal	Non-Executive - Non Independent Director	Member	29-04-2009		
Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a regular Chairperson							Yes
1	00001718	Mr. Arun Churiwal	Non-Executive - Non Independent Director	Chairperson	22-04-2014		
2	00061060	Mr. Riju Jhunjhunwala	Executive Director	Member	22-04-2014		
3	00587814	Mr. Amar Nath Choudhary	Non-Executive - Independent Director	Member	22-04-2014		
Other Committee							

Annexure I						
III. Meeting of Board of Directors						
Disclosure of notes on Composition of Board of Directors Explanatory						
Sr.	Date(s) of Meeting (Enter dates of Previous quarter and Current Quarter in chronological order)	Madmimum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
1	11-11-2020			Yes	10	5
2	15-12-2020	33		Yes	9	4
3	11-02-2021	57		Yes	10	5

* To be filled in only for the current quarter meetings

Annexure I								
IV. Meeting of Committees								
Disclosure of notes on Composition of Committees Explanatory								
Sr.	Name of Committee	Date(s) of Meeting (Enter dates of Previous quarter and Current Quarter in chronological order)	Madnimum gap between any two consecutive (in number of days)	Name of Other Committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
1	Audit Committee	11-11-2020				Yes	3	3
2	Audit Committee	05-01-2021	54			Yes	3	3
3	Audit Committee	11-02-2021	36			Yes	3	3
4	Nomination and Remuneration Committee	11-02-2021				Yes	3	2
5	Stakeholders Relationship Committee	11-11-2020				Yes	3	1
6	Stakeholders Relationship Committee	11-02-2021	91			Yes	3	1
7	Corporate Social Responsibility Committee	11-11-2020				Yes	2	1
8	Corporate Social Responsibility Committee	11-02-2021	91			Yes	3	1

* To be filled in only for the current quarter meetings.

Annexure I			
V. Related Party Transactions			
Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of Audit Committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Annexure II				
Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)				
I. Disclosure on website in terms of Listing Regulations				
Sr	Item	Compliance status	If status is "No" details of non-	Web address
1	Details of business	Yes		www.rswm.in
2	Terms and conditions of appointment of independent directors	Yes		www.rswm.in
3	Composition of various committees of board of directors	Yes		www.rswm.in
4	Code of conduct of board of directors and senior management personnel	Yes		www.rswm.in
5	Details of establishment of vigil mechanism/ Whistle Blower policy	Yes		www.rswm.in
6	Criteria of making payments to non-executive directors	Yes		www.rswm.in
7	Policy on dealing with related party transactions	Yes		www.rswm.in
8	Policy for determining 'material' subsidiaries	Yes		www.rswm.in
9	Details of familiarization programmes imparted to independent directors	Yes		www.rswm.in
10	Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes		www.rswm.in
11	email address for grievance redressal and other relevant details	Yes		www.rswm.in
12	Financial results	Yes		www.rswm.in
13	Shareholding pattern	Yes		www.rswm.in
14	Details of agreements entered into with the media companies and/or their associates	NA		
15	Schedule of analyst or institutional investor meet and presentations made	Yes		www.rswm.in
16	New name and the old name of the listed entity	NA		
17	Advertisements as per regulation 47 (1)	Yes		www.rswm.in
18	Credit rating or revision in credit rating obtained	Yes		www.rswm.in
19	Separate audited financial statements of each subsidiary of the listed entity	NA		
20	Whether company has provided information under separate section on its website as per Regulation 46(2)	Yes		www.rswm.in
21	Materiality Policy as per Regulation 30	Yes		www.rswm.in
22	Dividend Distribution policy as per Regulation 43A (as applicable)	NA		
23	It is certified that these contents on the website of the listed entity are correct	Yes		www.rswm.in
24	Disclosure of notes on website in terms of Listing Regulations explanatory [Text Block]			

Annexure 1		
VI. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	YES
2	The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. a. Audit Committee	YES
3	The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & Remuneration Committee	YES
4	The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders Relationship Committee	YES
5	The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 500 listed entities)	N.A
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	YES
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	YES
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors. (This report will be placed in ensuing Board meeting and the previous report had been placed in the previous Board meeting.)	YES
9	Any comments/observations/advice of Board of Directors may be mentioned here: (There were no comments/observations/advice on the said report by the Board of Directors.)	

Annexure 1		
1	Name of Signatory	SURENDER GUPTA
2	Designation	Company Secretary and Compliance Officer

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status	If status is "No" details of non-compliance
1	Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	16(1)(b) & 25(6)	Yes	
2	Board composition	17(1), 17(1A) & 17(1B)	Yes	
3	Meeting of Board of directors	17(2)	Yes	
4	Quorum of Board meeting	17(2A)	Yes	
5	Review of Compliance Reports	17(3)	Yes	
6	Plans for orderly succession for appointments	17(4)	Yes	
7	Code of Conduct	17(5)	Yes	
8	Fees/compensation	17(6)	Yes	
9	Minimum Information	17(7)	Yes	
10	Compliance Certificate	17(8)	Yes	
11	Risk Assessment & Management	17(9)	Yes	
12	Performance Evaluation of Independent Directors	17(10)	Yes	
13	Recommendation of Board	17(11)	Yes	
14	Maximum number of Directorships	17A	Yes	
15	Composition of Audit Committee	18(1)	Yes	
16	Meeting of Audit Committee	18(2)	Yes	
17	Composition of nomination & remuneration committee	19(1) & (2)	Yes	
18	Quorum of Nomination and Remuneration Committee meeting	19(2A)	Yes	
19	Meeting of Nomination and Remuneration Committee	19(3A)	Yes	
20	Composition of Stakeholder Relationship Committee	20(1), 20(2) & 20(2A)	Yes	
21	Meeting of Stakeholders Relationship Committee	20(3A)	Yes	
22	Composition and role of risk management committee	21(1),(2),(3),(4)	NA	
23	Meeting of Risk Management Committee	21(3A)	NA	
24	Vigil Mechanism	22	Yes	
25	Policy for related party Transaction	23(1),(1A),(5),(6),(7) & (8)	Yes	
26	Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	Yes	
27	Approval for material related party transactions	23(4)	NA	
28	Disclosure of related party transactions on consolidated basis	23(9)	Yes	
29	Composition of Board of Directors of unlisted material Subsidiary	24(1)	NA	
30	Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4),(5) & (6)	NA	
31	Annual Secretarial Compliance Report	24(A)	Yes	
32	Alternate Director to Independent Director	25(1)	Yes	
33	Maximum Tenure	25(2)	Yes	
34	Meeting of independent directors	25(3) & (4)	Yes	
35	Familiarization of independent directors	25(7)	Yes	
36	Declaration from Independent Director	25(8) & (9)	Yes	
37	D & O Insurance for Independent Directors	25(10)	NA	
38	Memberships in Committees	26(1)	Yes	
39	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes	
40	Disclosure of Shareholding by Non-Executive Directors	26(4)	Yes	
41	Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes	
Any other information to be provided				

Annexure II		
1	Name of signatory	SURENDER GUPTA
2	Designation	Company Secretary and Compliance Officer

Annexure II

III. Affirmations

Sr	Particulars	Compliance status (Yes/No/NA)
1	The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied	NA
Any other information to be provided		

Annexure II

1	Name of signatory	SURENDER GUPTA
2	Designation	Company Secretary and Compliance Officer

Signatory Details

Name of signatory	SURENDER GUPTA
Designation of person	Company Secretary and Compliance Officer
Place	NOIDA
Date	13-04-2021